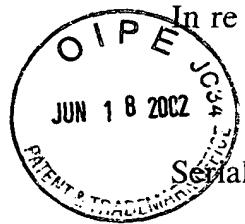


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of:

Tsuyoshi Harakawa et al.

Serial No.: 09/944,199

Filed: September 4, 2001

For: WATER-BORNE COATING COMPOSITION
AND METHOD OF FORMING MULTILAYER
COATING FILM

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Art Unit: 1762
Examiner:
Atty Docket: 21581/0279

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

For ensuring compliance with the applicant's duty of disclosure under 37 CFR § 1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

The listing of these materials is not to be construed as an admission that such is actually prior art with respect to the invention of the present application and is not to be construed that such is material with respect to the present invention.

[] U.S. patent applications which the applicant considers to be related to the above-identified application are as follows:

[xx] A concise explanation of the relevance of the non-English language references is provided in the attached English Language abstracts.

[xx] The relevance of the references is also indicated on the enclosed copy of the UK Search Report for the British counterpart application.

within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);

before receiving a first Office Action on the merits of the above-identified patent application (no fee is due). These materials were cited in a communication from a foreign patent office in a counterpart application (copy of search report enclosed).

following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, the fee box below OR one of the last two boxes must be checked);

OR

following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last two boxes also must be checked).

FEE

The Director is hereby authorized to charge the \$180.00 fee set forth in 37 CFR § 1.17(p) to Deposit Account No. 22-0185.

CERTIFICATION

The undersigned certifies that each item of this information is being submitted within three months of the date it was first cited in any communication from a foreign patent office in a counterpart foreign application.

The undersigned certifies that no item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.

The Director is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 22-0185. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,



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Date: 6-17-02

FORM PT-1449

INFORMATION DISCLOSURE CITATION

Atty Docket
21581/0279Serial No.
09/944,199

Applicant

Tsuyoshi Harakawa et al.

Filing Date

September 4, 2001

Group Art Unit

1762

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub-Class	Filing Date
AA	5,204,404	4/93	Werner Jr. et al.			
AB	5,064,695	11/91	Hotta et al.			
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Sub-Class	Trans-lation
AL	EP0421609 A2	4/91	Europe			Yes No
AM	JP7048537 A	2/95	Japan			Abstract Only
AN	JP7048538 A	2/95	Japan			Abstract Only
AO						Yes No
AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR						
AS						
AT						

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 600. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).